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May 10, 2002

#### CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the data

below:

May 10, 2002

Date

Commissioner for Patents Washington, DC 20231

Re:

U.S. Patent Application No. 10/043,639 entitled "METHOD FOR PREPARING 1,3-PROPANEDIOL BY A RECOMBINANT MICRO-ORGANISM IN THE ABSENCE OF COENZYME B12 OR ONE OF ITS PRECURSORS" by Patricia Sarcabal et al.

Client Reference: K616PCT/US Our Reference: CHEP:004US

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references (A1-A3, B1, C1-C5).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10200203/MBW.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Mark B. Wilson Reg. No. 37,259

MBW cmb Encl.: as noted

25164590-1



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patricia Sarcabal et al.

Serial No.: 10/043,639

Filed: January 9, 2002

For: METHOD FOR PREPARING 1,3-PROPANEDIOL BY A RECOMBINANT MICRO-ORGANISM IN THE ABSENCE OF COENZYME B12 OR ONE OF ITS **PRECURSORS** 

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: CHEP:004US/MBW

## CERTIFICATE OF MAILING

37 C.F.R 1.8

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May 10, 2002

Date B. Wilson

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10200203/MBW.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Mark B. Wilson Reg. No. 37,259

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date: May 10.

May 10, 2002

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Form PTO-1449 (modified)	C.	ty. Docket No. HEP:004US/MBW	Serial No. 10/043.639	
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT		plicant tricia Sarcabal <i>et al</i> .		
MAY = 6 2002 (Use several sheets if necessary	Fil	ing Date: nuary 9, 2002	Group: Unknown	
		t Documents  age 1	Other Art See Page 1	

### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	Al	5,633,362	5/27/97	Nagarajan et al.	536	23.1	5/12/95
	A2	5,686,276	11/11/97	Laffend et al.	435	158	5/12/95
	A3	5,821,092	10/13/98	Nagarajan <i>et al</i> .	435	158	7/26/96

### **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 98/21339	5/22/98	PCT			

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation				
	C1	Luers <i>et al.</i> , "Glycerol conversion to 1,3-propanediol by Clostridium pasteurianum: cloning and expression of the gene encoding 1,3-propanediol dehydrogenase," <i>FEMS Microbiology Letters</i> , 154:337-345, 1997.				
	C2	Macis et al., "Properties and sequences of the coenzyme B <sub>12</sub> -dependent glycerol dehydratase of Clostridium pasteurianum," FEMS Microbiology Letters, 164:21-28, 1998.				
	СЗ	Reimann <i>et al.</i> , "1,3-propanediol formation with product-tolerant mutants of Costridium butyricum DSM 5431 in continuous culture: productivity, carbon and electron flow," <i>J. Applied Microbiology</i> , 84:1125-1130, 1998.				
	C4	Skraly et al., "Construction and characterization of a 1,3-propanediol operon," <i>Applied and Environmental Microbiology</i> , 64(1):98-105, 1998.				
	C5	Weidner and Sawers, "Molecular characterization of the genes encoding pyruvate formate-lyase and its activating enzyme of Clostridium pasteurianum," <i>J. of Bacteriology</i> , 178(8):2440-2444, 1996.				

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Examiner: Date Considered:

EXAMINER INTERLIER FEFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPFP609, DRAWLENE THROUGH CHAILON IE NOUN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT